FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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OMB Number:	3235-0104				
Estimated average burden					
hours per response:	0.5				

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

POCK I OLUS C			2. Date of Event Requiring Statement (Month/Day/Year) 09/19/2013	3. Issuer Name and Ticker or Trading Symbol HEAT BIOLOGICS, INC. [HTBX]				
(Last)	(First)	(Middle)	· · · · · · · · · · · · · · · · · · ·	Relationship of Reporting Person(s) to Issuer (Check all applicable)		5. If Amendment, Date of Original Filed (Month/Day/Year)		
C/O 100 EUROPA DRIVE			X	Director Officer (give title below)	10% Owner Other (specify below)	Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) CHAPEL HILL	, NC	27517					A	Form filed by More than One Reporting Person
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Beneficially Owned

·· · · · · · · · · · · · · · · · · ·	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or	4. Nature of Indirect Beneficial Ownership (Instr. 5)
		Indirect (I) (Instr. 5)	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	I I		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		Conversion or Exercise	Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Indirect (I) Derivative (Instr. 5) Security		
Option	09/19/2013 ⁽¹⁾	09/18/2023	Common Stock	21,740	12.79	D	

Explanation of Responses:

1. 1/16th vest on the last day of each calendar quarter following the Vesting Commencement Date, subject to remaining on the Board of Directors.

<u>/s/ Louis C. Bock</u> <u>09/26/2013</u>

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

 $^{^{\}star}$ If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).