SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] PRENDERGAST JOHN K A			Date of Event Renter (Month/ 21/2016		3. Issuer Name and Ticker or Trading Symbol HEAT BIOLOGICS, INC. [HTBX]						
(Last) C/O HEAT B 801 CAPITOI (Street) DURHAM	(First) IOLOGICS, INC. .A DRIVE NC	(Middle)			4. Relationship of Reporting Person((Check all applicable) X Director Officer (give title below)		s) to Issuer 10% Owner Other (specify below)		(N 6.	5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - No	n-Deriva	tivo Se	ocurities Beneficially	Owne	ad a			
Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security (Instr. 4) 2. Amount of Securities 3. Ownership 4. Nature of Indirect Beneficial Ownership (Instr.											
The of Security (insu. 4)					ally Owned (Instr. 4)			D) or 5)			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Derivative Security (Instr. 4)		Conve or Exe		Conversion or Exercise	(D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	n Title		or Nu	nount ımber Shares	Price of Derivative Security	Indirect (I) (Instr. 5)	

Explanation of Responses:

No securities are beneficially owned.

/s/ John K. A. Prendergast 04/25/2016

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL